



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/728,589	12/04/2003	Matthew P. Carter	10000/218	8981
757 7590 02/17/2010 BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, IL 60610				
EXAMINER				
TYSON, MELANIE RUANO				
ART UNIT		PAPER NUMBER		
3773				
MAIL DATE		DELIVERY MODE		
02/17/2010		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/728,589

Applicant(s)

CARTER ET AL.

Examiner

MELANIE TYSON

Art Unit

3773

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 24-32 and 37-39 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 24-32 and 37-39 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB-08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 26 January 2010 has been entered. Claims 1-23 and 33-36 remain cancelled.

Response to Arguments

Applicant's arguments with respect to claims 24-32 and 37-39 have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 24-32, and 37-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shakhovich (U.S. Patent No. 5,669,924), Hilaire et al. (U.S. Publication No. 2005/0085845 A1), and Colgan et al. (U.S. Publication No. 2003/0040789 A1).

Shakhovich discloses a method of placing first and second stents into a bifurcation using a stent delivery device (for example, see Figure 9) comprising the steps of providing a first introducer (1a) having a first distal portion (balloon of 1a) with a first distal outer diameter and a first stent (6a) and a first proximal portion (shaft of 1a) having a first proximal outer diameter that is less than the first distal outer diameter, providing a second introducer (1b) having a second distal portion (balloon of 1b) with a second distal outer diameter and a second stent (6b) and a second proximal portion (shaft of 1b) having a second proximal outer diameter that is less than the second distal diameter, placing a first (5a) and second (5b) wire guide in an adjacent configuration external to and independent of the opposite stents and introducers into a main lumen and first and second branch lumens, subsequently independently advancing the first and second introducers over the first and second wire guides such that the first introducer is positioned within the main lumen and first branch lumen and the second introducer is positioned within the main lumen and the second branch lumen (in that the main lumen branches to either the left or straight, thus the straight portion at the branching section is considered a first branch and the left portion is considered a second branch as claimed; for example, see Figure 9 and column 8, lines 17-22),

simultaneously or sequentially (for example, see column 4, lines 15-20) deploying the first and second stents such that at least at least a portion of the first stent is in a side-by-side configuration with at least a portion of the proximal portion of the second stent within the main lumen, and inherently withdrawing the first and second introducers after completing the procedure. Shaknovich fails to disclose placing and advancing the first and second introducers in a staggered adjacent configuration, wherein the first proximal portion is adjacent to the second distal portion such that an overall diameter of the first and second introducers is less than the sum of the first distal outer diameter and the second distal outer diameter.

Hilaire discloses a method for placing stents in a bifurcation. Hilaire teaches placing and advancing the introducers in a staggered relationship such that the first proximal portion is adjacent to the second distal portion and such that an overall diameter of the first and second introducers is less than the sum of the first distal outer diameter and the second distal outer diameter (for example, see Figure 5) in order to provide a delivery system having a low profile (for example, see paragraph 54). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to place and advance Shaknovich's introducers as taught by Hilaire. Doing so would provide the advantage described above and thus reduce the risk of injury to body lumens during advancement.

Shaknovich as modified by Hilaire fails to disclose the step of placing the staggered, adjacent, introducers (for example, see Figure 2) in a working channel of an endoscope. Colgan discloses a method of deploying a stent (see entire document).

Colgan teaches the step of placing an introducer retaining a stent in a working channel of an endoscope (70; for example, see paragraphs 87 and 91). It would have been obvious to one having ordinary skill in the art at the time the invention was made to place the introducers of Shaknovich as modified by Hilaire in a working channel of an endoscope as taught by Colgan. Doing so would enable the operator to locate stent placement through direct vision (for example, see paragraph 87).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MELANIE TYSON whose telephone number is (571)272-9062. The examiner can normally be reached on Monday through Friday 7-7 (max flex).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jackie Ho can be reached on (571) 272-4696. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

Art Unit: 3773

USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Melanie Tyson/
Examiner, Art Unit 3773
February 10, 2010